

Exhibit V - LIHTC Identity of Interest (IOI) Statement

Property Information	
Property Name:	Property Number:
Property Address:	

Section I	
Identity of Interest Statement regarding Utility Vendors:	
1.	When there is any financial interest between the applicant/program participant and/or management entity and the supplying entity.
2.	When one or more of the officers, directors, stockholders or partners of the applicant/program participant or management entity is also an officer, director, stockholder, or partner of the supplying entity.
3.	When the officer, director, stockholder, or partner of the applicant program participant and/or management entity has 10 percent or more financial interest in the supplying entity.
4.	When the supplying entity advances any funds to the applicant/program participant and/or management entity.
5.	When the supplying entity provides or pays on behalf of the applicant/program participant and/or management entity as part of the consideration to be paid them.
6.	When the supplying entity takes stock or any interest in the applicant/program participant and/or management entity as part of the consideration to be paid them.
7.	When there exists or comes into being any side deals, agreements, contracts or understanding entered into thereby altering, amending, or canceling any of the management plan/ management agreement documents, organization documents or other legal documents pertaining to the property, except as approved by the Agency.

Please select and answer either the HAVE or HAVE NOT statement below.

I <u>HAVE</u> an Identity of Interest relationship:	HAVE
<p>I, _____ (please print name), hereby certify that I have read the identity of interest statement above and understand what the Missouri Housing Development Commission has determined constitutes and identity of interest. I further certify that an identity of interest relationship exists and hereby disclose on the following page(s) of the qualification form those entities with which I <u>HAVE</u> an identity of interest relationship.</p> <p>I hereby certify, under penalty of law*, and with knowledge that this information may be verified, that the information submitted is true and accurate. I further understand that failure to disclose any identity of interest to the Agency will also subject me to any administrative remedies available to the Agency. Such remedies may include suspension and debarment from participating in any Agency or Federal program.</p> <p>I further understand and agree that I will update this Disclosure/Qualification Certificate if any circumstances change, and I agree to provide a new Disclosure/Qualification Certificate at any time requested by the Agency.</p> <p>This Certification shall be in effect for a period of one (1) year beginning on the ____ day of _____, _____.</p>	
Applicant/Program Participant Signature:	Date:

I <u>DO NOT HAVE</u> an Identity of Interest relationship:	HAVE NOT
<p>I, _____ (please print name), hereby certify that I have read the identity of interest statement above and understand what the Missouri Housing Development Commission has determined constitutes and identity of interest. I further certify that NO identity of interest relationship exists.</p> <p>I hereby certify, under penalty of law*, and with knowledge that this information may be verified, that the information submitted is true and accurate. I further understand that failure to disclose any identity of interest to the Agency will also subject me to any administrative remedies available to the Agency. Such remedies may include suspension and debarment from participating in any Agency or Federal program.</p> <p>I further understand and agree that I will complete an Identity of Interest Disclosure/Qualification if at any time my circumstances change, and an identity of interest relationship is formed.</p> <p>This Certification shall be in effect for a period of one year (1) beginning on the ____ day of _____, _____.</p>	
Applicant/Program Participant Signature:	Date:
<p>*Warning: Section I 001 of the Title 18, United States Code, provides, "Whoever, in any matter within the jurisdiction of the executive, legislative, or judicial branch of the Government of the United States, knowingly, and willfully falsifies, conceals, or covers up by any trick, scheme, or device a material fact, makes any materially false, fictitious, or fraudulent statement or representation, or makes or uses any false writing or document knowing the same to contain any materially false, fictitious or fraudulent statement or entry shall be fined under this title or imprisoned not more than 5 years, or both."</p>	

Section II			
To be completed by the principal for each business or trade with an identity of interest (IOI) relationship with a contracting entity. Use additional sheets for each IOI entity, if necessary.			
IOI Entity Name:	Trade of Business:		
Type of Entity:	Utility Contractor	Utility Subcontractor	Other:
Supplier of:	Service	Other:	
Describe IOI Entity's Relationship to Applicant / Program Participant:			
Address:			
Telephone:	Taxpayer Identification Number:		
Number of Full-Time Employees:	Part Time:	Years in business:	
Personnel (responsible for completion of the contracted work (please include contact information):			
Principal of IOI Entity:			Social Security Number:
Address:			
Home Phone Number:		Other Phone Number:	
Years In Business:	Percent of Total Annual compensation from company %:		
Training:			

License(s) held (include license numbers):
Disclose any criminal convictions or debarment from Local, State, or Federal Government Programs:
Disclose any Current or Pending Legal Actions against the company or any of its principals:
Do any of the IOI companies function as “pass-throughs,” i.e., does the IOI company purchase goods or services from another party and pass those goods through to the project? For each pass-through arrangement, respond to the statements below. <i>(Use additional sheets as necessary.)</i>
Name the IOI involved:
Explain how the IOI compensation is determined:
Explain why it is more advantageous for the project to use the pass-through arrangement than to purchase directly from the ultimate supplier:

Attach fee schedules for all IOI companies disclosed.

I certify, under penalty of law* that the business in which I am employed is an ongoing trade or business qualified and properly licensed to undertake the work for which I intend to contract. I further certify, under penalty of law, and with knowledge that this information may be verified, that the information is true and accurate.

***Warning:** Section 1001 of the Title 18, United States Code, provides, “Whoever, in any matter within the jurisdiction of the executive, legislative, or judicial branch of the Government of the United States, knowingly, and willfully falsifies, conceals, or covers up by any trick, scheme, or device a material fact, makes any materially false, fictitious, or fraudulent statement or representation, or makes or uses any false writing or document knowing the same to contain any materially false, fictitious, or fraudulent statement or entry shall be fined under this title or imprisoned not more than 5 years, or both.”

Electronic Submission Agreement and Disclosure: Once signed, a scanned version of this document may be submitted electronically to MHDC via email. If submitted as such, the undersigned agrees that the signature is to be treated as an original signature and the document (in the form of a photocopy, PDF, or other electronic form) is to be treated as an original document with the same legal effect and enforceability as the original signed document. Regardless, MHDC in its sole and absolute discretion reserves the right to request an original signed hard copy as deemed necessary. The undersigned is responsible for retaining the original signed hard copy in their files.

IOI Entity Principal (Signature):	Date:
Print Name:	