Identity of Interest Statement regarding Utility Vendors:

An identity of interest occurs:

1) When there is any financial interest between the applicant/program participant and/or management entity and the supplying entity.
2) When one or more of the officer, directors, stockholders or partners of the applicant/program participant or management entity is also an officer, director, stockholder, or partner of the supplying entity.
3) When the officer, director, stockholder, or partner of the applicant/program participant and/or management entity has 10 percent of more financial interest in the supplying entity.
4) When the supplying entity advances any funds to the applicant/program participant and/or management entity.
5) When the supplying entity provides or pays on behalf of the applicant/program participant and/or management entity as part of the consideration to be paid them.
6) When the supplying entity takes stock or any interest in the applicant/program participant and/or management entity as part of the consideration to be paid them.
7) When there exist or comes into being any side deals, agreements, contracts or understanding entered into thereby altering, amending, or canceling any of the management plan/management agreement documents, organization documents or other legal documents pertaining to the property, except as approved by the Agency.

Have:

I, __________________________ (please print name), hereby certify that I have read the identity of interest statement above and understand what the Missouri Housing Development Commission has determined constitutes an identity of interest. I further certify that an identity of interest relationship exists and hereby disclose on the following page(s) of the qualification form those entities with which I HAVE an identity of interest relationship.

I hereby certify, under penalty of law*, and with knowledge that this information may be verified, that the information submitted is true and accurate. I further understand that failure to disclose any identity of interest to the Agency will also subject me to any administrative remedies available to the Agency. Such remedies may include suspension and debarment from participating in any Agency or Federal program.

I further understand and agree that I will update this Disclosure/Qualification Certificate if any circumstances change, and I agree to provide a new Disclosure/Qualification Certificate at any time requested by the Agency.

This Certification shall be in effect for a period of one (1) year beginning on the ______ day of _________,____

________________________________
Applicant/Program Participant Signature

Have not:

I, __________________________ (please print name), hereby certify that I have read the identity of interest statement above and understand what the Missouri Housing Development Commission has determined constitutes and identity of interest. I further certify that NO identity of interest relationship exists.

I hereby certify, under penalty of law*, and with knowledge that this information may be verified, that the information submitted is true and accurate. I further understand that failure to disclose any identity of interest to the Agency will also subject me to any administrative remedies available to the Agency. Such remedies may include suspension and debarment from participating in any Agency or Federal program.

I further understand and agree that I will complete an Identity of Interest Disclosure/Qualification if at any time my circumstances change, and an identity of interest relationship is formed.

This Certification shall be in effect for a period of one year beginning on the ______ day of _________,____

________________________________
Applicant/Program Participant Signature

*Warning: Section 1001 of the Title 18, United States Code, provides, "Whoever, in any matter within the jurisdiction of the executive, legislative, or judicial branch of the Government of the United States, knowingly, and willfully falsifies, conceals, or covers up by any trick, scheme, or device a material fact, makes any materially false, fictitious, or fraudulent statement or representation, or makes or uses any false writing or document knowing the same to contain any materially false, fictitious or fraudulent statement or entry shall be fined under this title or imprisoned not more than 5 years, or both."
Section II: To be completed by the principal for each business or trade with an identity of interest (IOI) relationship with a contracting entity. Use additional sheets for each IOI entity, if necessary.

IOI Entity Name: ____________________________

Type of Entity: ____________
- Utility Contractor
- Utility Subcontractor
- OTHER

Trade of Business: ____________________________

Supplier of: ____________
- Service
- OTHER

Describe IOI Entity’s Relationship to Applicant / Program Participant: ____________________________

Address: ____________________________
Telephone: ____________________________
Taxpayer Identification Number: ____________________________
Number of Full Time Employees: ____________ Part time: ____________ Years in Business: ____________
Personnel (those responsible for completion of the contracted work): ____________________________

Principal of IOI Entity: ____________________________
Address: ____________________________
Home Phone Number: ____________________________ Other Phone Number: ____________________________
Social Security Number: ____________________________
Years In Business: ____________
Training: ____________________________
License(s) Held (include License numbers): ____________________________

Percent of Total Annual compensation from company ______% 
Disclose any criminal convictions or debarment from Local, State, or Federal Government Programs: ____________________________

Disclose any Current or Pending Legal Actions against the company or any of its principals: ____________________________

Do any of the IOI companies function as “pass throughs”, i.e., does the IOI company purchase good or services from another party and pass those goods through to the project? For each pass through arrangement, respond to the statements below. (Use additional sheets as necessary.)

Name the IOI involved: ____________________________
Explain how the IOI compensation is determined: ____________________________
Explain why it is more advantageous for the project to use the pass-through arrangement than to purchase directly from The ultimate supplier: ____________________________
Attach fee schedules for all IOI companies disclosed.

I certify, under penalty of law* that the business in which I am employed is an ongoing trade or business qualified and properly licensed to undertake the work for which I intend to contract. I further certify, under penalty of law, and with knowledge that this information may be verified, that the information is true and accurate.

IOI Entity Principal (Signature) ____________________________ Date ____________

*Warning: Section I 001 of the Title 18, United States Code, provides, “Whoever, in any matter within the jurisdiction of the executive, legislative, or judicial branch of the Government of the United States, knowingly, and willfully falsifies, conceals, or covers up by any trick, scheme, or device a material fact, makes any materially false, fictitious, or fraudulent statement or representation, or makes or uses any false writing or document knowing the same to contain any materially false, fictitious or fraudulent statement or entry shall be fined under this title or imprisoned not more than 5 years, or both.”